



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): HARTWIG et al.
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FOR: ENANTIOSELECTIVE AMINATION AND ETHERIFICATION
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GROUP ART UNIT: 1755
EXAMINER:

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 223313-1450

INFORMATION DISCLOSURE STATEMENT

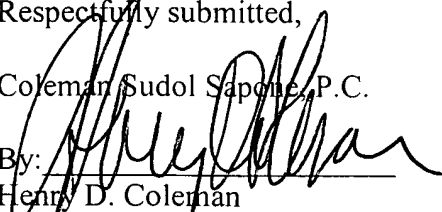
SIR:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56(a), applicant encloses herewith a copy of Form PTO-1449 listing patent and other documents relevant to the background of the invention described and claimed in the above-identified application. Inclusion of a reference on this list does not imply that that reference is prior art. For the convenience of the Examiner, copies of the listed non-U.S. patent documents are enclosed on either a CD or paper.

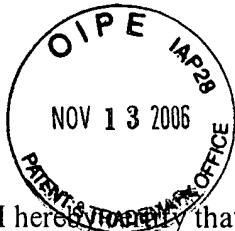
Applicant respectfully requests that the Examiner consider the enclosed references in determining the patentability of the claimed invention. Applicant also requests that the Examiner return a copy of enclosed Form PTO-1449 with initials or other marks indicating that the references have been so considered. If any fee is due, please charge/credit deposit account no. 04-0838.

Respectfully submitted,

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Dated: November 7, 2006

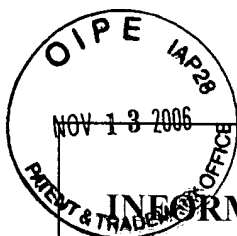


CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on November 7, 2006.

Harold Hull

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Att'y Ref: Y03-103US Nat	Serial No: 10/527,899
	Applicant: HARTWIG et al.	
	Filing Date: 3/16/06	Art Unit: 1755

United States Patent Documents						
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date

Foreign Patent Documents							
Examiner Initial	Publication Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No

Examiner Initial	Other Documents (by Title, Author Date, Pertinent Pages, Etc.)
	Godleski, SA et al.,in: <i>Comprehensive organic synthesis: selectivity, strategy and efficiency in modern organic chemistry</i> ; Trost, BM and Fleming, I, Eds.; Pergamon Press: New York, 1991; Vol. 4, pp 585-661

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered; Include a copy of this form with next communication to the applicant.	

	Jacobsen, EN et al., <i>Comprehensive Asymmetric Catalysis I-III</i> ; Jacobsen, EN; Pfaltz, A and Yamamoto, H, Eds.; Springer-Verlag: Berlin, Germany, 1999
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	Trost, BM et al, <i>J. Am. Chem. Soc.</i> 2002 , 124, 14542
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